

# Data Transmittal Memorandum

## Discrimination Testing

### All Benefit Plans

### With Employee Database

## Addressees

### Addressee Number 1

Engager Name John Smith Enterprises ID P005  
Address PO Box 987  
City Winston-Salem State NC ZIP 27103  
Tel 888-999-9999 Fax 555-777-4444  
E-Mail i.smith@att.rr.com Contact John Smith

### Addressee Number 2

Eligible User Name Self-Funding Actuarial Services, Inc. ID E0001  
Address 8025 North Point Blvd Ste 207W  
City Winston-Salem State NC ZIP 27106  
Tel 336-759-2036 Fax 336-896-9392  
E-Mail Harker22earthlink.net Contact Carlton Harker

### Addressee Number 3

Other Entity Name Black and White Consultants ID R0002

Addressee 1841 Downtown Avenue  
City Covington State LA ZIP 57108  
Tel. 999-797-1109 Fax. 888-797-1079  
E-Mail b.brown@earthlink.net Contact Bill Brown

## Plan Data

Plan Name ABC Medical Benefits Plan  
DOL No. 601 Plan Sponsor ABC Holding Company  
Addressees: Number 1 P0005 Number 2 E0001 Number 3 R0002  
Benefits: M X Rx      D      V       
Plan Description MRP Benefit Eligibility Code 03  
Other Participating Employers: Number 1 LMN Service Company  
Number 2 EFG Manufacturing Co. Number 3 HIJ Marketing Company  
Number 4 PQR Sales Company Number 5 STU Corporation

## Employer Data

Employers Participating in the Plan: (Plan Sponsor is ABC)

<u>ID</u>	<u>Employer Name</u>	<u>Relation to Others</u>	<u>Tax Status</u>
<u>ABC</u>	<u>ABC Holding Company</u>	<u>B-S</u>	<u>C Corp</u>
<u>LMN</u>	<u>LMN Service Company</u>	<u>B-S</u>	<u>C Corp</u>
<u>EFG</u>	<u>EFG Manufacturing Company</u>	<u>S</u>	<u>C Corp</u>

HIJ	HIJ Marketing Company	S	C Corp
PQR	PQR Sales Corporation	S	C Corp
STU	STU Corporation	S	C Corp

## Valuation Data

Test Year 01/01/2011 to 01/01/2012 Test Date 2011

Submitted Data Print-Out? Yes X No       

IRC Limits: Section 414(q) 110,000 Section 516(i) 150,000

Are the Following Participants Who are Not Common Law

Employees Included? Former Employees Yes

Independent Contractors No Leased Employees No

Self-Employed No Contractual Privilege No

Are the following *per se* discrimination tests met?

Eligibility Yes Benefits Yes Contributions Yes

Tenure/Compensation Yes

Are These Compliance-Related Discrimination Tests Met?

Protected Class Yes Older Employees Yes

Ineligible Dependents Yes Health-Status Yes

Trade and Commerce Yes

# Employee Database

Employee ID A01 Employer ID ABC Legal Class CL

Owner % 30 Officer Yes Excludible No

Annualized Compensation 80,000

Plan A: DOL Number 601 Eligible? Yes Participant? Yes

Annualized: Benefits 3,000 Contributions 2,000

Plan B: DOL Number 602 Eligible? Yes Participant? Yes

Annualized: Benefits 1,800 Contributions 420

Plan C: DOL Number 840 Eligible? Yes Participant? Yes

Annualized: Benefits 640 Contributions 140

Plan D: DOL Number 660 Eligible? Yes Participant? Yes

Annualized Benefits 8,000 Contributions 8,000